

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2010-03-15
Date of Last Change to Activities: 2012-03-14
Investment Auto Submission Date: 2012-02-22
Date of Last Investment Detail Update: 2012-02-22
Date of Last Exhibit 300A Update: 2012-02-22
Date of Last Revision: 2012-08-11

Agency: 012 - Department of Labor **Bureau:** 23 - Office of Labor Management Standards

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: OLMS - Electronic Labor Organization Reporting System (e.LORS)

2. Unique Investment Identifier (Ull): 012-000002055

Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

The Office of Labor-Management Standards (OLMS) administers the Labor-Management Reporting and Disclosure Act of 1959, as amended (LMRDA) and related laws, which primarily establish safeguards for union democracy and union financial integrity and require public disclosure reporting by unions and others. The Electronic Labor Organization Reporting System (e.LORS) is the major OLMS information technology system. It comprises various applications that support administration of the LMRDA program, including applications essential to processing reports to facilitate reporting and public disclosure. e.LORS systems have greatly contributed to the effectiveness of the LMRDA and OLMS achieving its goal of ensuring worker voice in the workplace. Planned system improvements to OLMS IT systems would have a direct impact on OLMS performance measures. OLMS plans to make needed improvements to the Online Public Disclosure Room (OPDR) by improving search capabilities, and increasing ease of navigation. OLMS has established a goal to increase the American Customer Satisfaction Index (ACSI) score from the FY 2010 baseline of 58 to 64, which will demonstrate an increase in customer satisfaction. Modernization will benefit both OLMS and its external stakeholders. Beginning in 2012, OLMS established improvements in the percentage of electronically filed reports as a priority goal with a goal of having over half of all reports filed electronically in FY 2016. By promoting greater levels of electronic filing, OLMS benefits through reduced reliance on scanning and uploading, which incurs costs and

the possibilities of error. e.LORS primary stakeholders: unions, union members, researchers, and others, benefit with access to data and greater transparency of the information submitted. Overall, a modernization could contribute to increased transparency, better secure the assets of union members and increase customer satisfaction levels.

2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.

An e.LORS Modernization would close the performance gaps identified by the Operational Analysis. In summary, OLMS has a total of 12 public use forms, however only three are available for electronic submission, and only seven are currently available on the OLMS website for online public disclosure. Outmoded technology makes maintaining and tracking LMRDA and case data cumbersome and time consuming. As the Operational Analysis has concluded, these and other gaps negatively affect the agency's ability to efficiently and effectively meet its core mission of full public disclosure and data transparency. The goals of a development and modernization effort would address these gaps and optimize all OLMS IT systems to operate more efficiently and effectively. Should this initiative not be funded, OLMS will not be able to increase the number of reports filed electronically, resulting in continued inefficiency of manual paper form filing and lack of transparency for both OLMS and filers. The existing application is degrading and will continue to degrade. As a direct result, maintenance costs will increase. Additionally, OLMS will be unable to make essential improvements to the Public Disclosure Website and customer satisfaction levels will decrease.

3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.

- Upgraded the electronic forms for the LM-2, LM-3 and LM-4 so that electronic filing requires a dual authentication PIN and password, and eliminated the need for union officers to purchase a third party digital signature.
- Provided day to day operations for OLMS staff including case management, processing of both paper and electronic reports required under the LMRDA, delinquency tracking, audit capabilities and compliance assistance tracking.
- Provided public disclosure for union members and other interested parties. -Processed over 24K reports.

4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).

For the current year, OLMS will be continuing regular operations and maintenance activities including: -Provide day to day operations for OLMS staff including case management, processing of both paper and electronic reports required under the LMRDA, delinquency tracking, audit capabilities and compliance assistance tracking. -Provide public disclosure for Union Members and other interested parties by maintaining the OLMS Online Public Disclosure Room. -Complete a cost benefit analysis on the e.LORS system. For FY 2013 OLMS will be continuing regular operations and maintenance activities including: -Provide day to day operations for OLMS staff including case management, processing of both paper and electronic reports required under the LMRDA, delinquency tracking, audit capabilities and

compliance assistance tracking. -Provide public disclosure for Union Members and other interested parties by maintaining the OLMS Online Public Disclosure Room.

5. **Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2011-08-05

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$0.0	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$5.7	\$0.0	\$0.0	\$0.0
DME (Including Planning) Govt. FTEs:	\$0.6	\$0.0	\$0.0	\$0.0
Sub-Total DME (Including Govt. FTE):	\$6.3	0	0	0
O & M Costs:	\$14.2	\$1.9	\$2.0	\$2.2
O & M Govt. FTEs:	\$1.3	\$0.2	\$0.2	\$0.2
Sub-Total O & M Costs (Including Govt. FTE):	\$15.5	\$2.1	\$2.2	\$2.4
Total Cost (Including Govt. FTE):	\$21.8	\$2.1	\$2.2	\$2.4
Total Govt. FTE costs:	\$1.9	\$0.2	\$0.2	\$0.2
# of FTE rep by costs:	19	2	2	2
Total change from prior year final President's Budget (\$)		\$0.0	\$0.0	
Total change from prior year final President's Budget (%)		0.00%	0.00%	

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

The funding levels for FY 12 show minor changes due to reduction in agency funding.

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	1605	DOLQ069E24307									
Awarded	1605	DOLJ099E28443									
Awarded	1605	DOLB119E31600									

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

This investment is currently a steady state application. The investment will be tracked using earned value if a modernization occurs.

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-03-14

Section B: Project Execution Data

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
205511	FY11 Quarter 4 e.LORS Application Release.	Application modifications and user requested incremental enhancements.			
205513	FY12 e.LORS Sytem Enhancements	Improving system reporting capabilities, developing system for processing LM-30 reports, enhancing other system functionalities.			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
205511	FY11 Quarter 4 e.LORS Application Release.							
205513	FY12 e.LORS Sytem Enhancements							

Key Deliverables

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
205511	FY11 Quarter 4 e.LORS Application Release.	Application modifications and user requested incremental enhancements.	2011-09-30	2011-09-30	2011-09-30	91	0	0.00%
205513	FY12 e.LORS Sytem Enhancements - Iteration 1	Update e.LORS application to include: Simplified Reports registration to allow report disclosure on the agency Public Disclosure site	2012-03-31	2012-01-31	2012-01-20	182	71	39.01%
205513	FY12 e.LORS Sytem Enhancements -Iteration2	Update EFS application to include LM-30 Reports completion and submission	2012-09-30	2012-09-30		182	0	0.00%

Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Increase customer satisfaction with OLMS online services with an annual ACSI score of 60 or better as defined by the American Customer Satisfaction Index.	ACSI Score	Customer Results - Customer Benefit	Over target	58.000000	59.000000	61.000000	62.000000	Quarterly
Increase the percentage of reports completed online and submitted electronically by improving ease of use and availability of online filing tools.	Percentage	Mission and Business Results - Management of Government Resources	Over target	17.400000	24.500000	21.400000	30.500000	Semi-Annual
Decrease the percent of defects introduced or not fixed in an e.LORS Main Application release to increase the quality of the software and improve customer satisfaction with the software.	Percentage	Technology - Reliability and Availability	Under target	19.340000	18.000000	22.000000	17.500000	Semi-Annual
Application Performance: To maintain 99% availability rate for customers of e.LORS systems using the percentage of "available time" as measured by system monitoring tools.	Percentage	Technology - Reliability and Availability	Over target	99.800000	99.800000	99.910000	99.800000	Monthly
Help Desk Response	Percentage	Technology -	Under target	5.000000	5.000000	5.000000	4.540000	Monthly

Table II.C.1 Performance Metrics								
Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency

- service level. To ensure calls are answered quickly and customers do not spend time on hold waiting for a representative. This will decrease the average time to call abandonment.

Effectiveness